Article (30)

A. A member must abide by the provisions of the Law, and the regulations, instructions and decisions issued by virtue thereof, in particular a member must abide by the following:
    1. Maintaining those records and documents stipulated for in the applicable legislations, including those records and documents related to dealings executed by the member on the Stock Exchange.
    2. Settling all fees, commissions and charges that a member incurs within the timelines indicated in the applicable legislations.
    3. Complying with the Capital Adequacy Standards issued by the Board and the Stock Exchange.
    4. Refraining from taking any commission(s) exceeding the limitations set by applicable legislations.
    5. Non-disclosure of confidential client information.
    6. Informing the Stock Exchange of any breach of the provisions of applicable legislations immediately upon obtaining knowledge of them.

B. The member shall be responsible for any breach of the provisions of the Law, and the regulations, instructions and decisions issued by virtue thereof committed by persons associated with it.

C. The member shall sign a pledge to abide by the provisions of the By-laws and the instructions and decisions passed by the Stock Exchange, on the form approved for that purpose.