

[Article \(28\)](#)

- A. The Chief Executive Officer as well as any other Stock Exchange staff member must inform the Commission, in writing, of those securities owned by him / her or that he / she has at his / her disposal upon filling his / her position and as soon as any change occurs therein.
- B. It shall be prohibited for the Chief Executive Officer as well as any other Stock Exchange staff member to be a shareholder or a partner in any broker, or to own or have at his / her disposal any securities issued by a broker.
- C. Subject to the provision of sub-paragraph B of this Article, the Chief Executive Officer as well as any other Stock Exchange staff member shall be subject to instructions issued by the Board to regulate ownership of or trading in any securities.